#### Appendix A Government Privacy Breach Reporting Form



Procedure: PA-602-PR

Privacy Breach Reporting Form (For Public Body Use Only)

Access to Information and Protection of Privacy Act, 2015

A privacy breach occurs when there is a collection, use, or disclosure of **personal** information in contravention of the *Access to Information and Protection of Privacy Act, 2015 (ATIPPA, 2015)*. This includes personal information that is lost or stolen.

As per Section 64(4) of the ATIPPA, 2015, if you are aware of a privacy breach, you must complete this form and submit it to the Office of the Information and Privacy Commissioner (OIPC) as soon as reasonably possible. Please forward the completed form via e-mail to <a href="mailto:breachreport@oipc.nl.ca">breachreport@oipc.nl.ca</a>, fax (709) 729-6500, or mail to the Office of the Information and Privacy Commissioner, P. O. Box 13004, Station "A", St. John's, NL A1B 3V8.

The ATIPP Office has also requested that breach reports be sent via e-mail to <u>ATIPPOffice@gov.nl.ca</u>. If you do not have access to e-mail please submit the form via fax (709) 729-2226, or mail to the ATIPP Office, Office of Public Engagement, 4th Floor, West Block, Confederation Building, P.O. Box 8700, Station "A", St. John's, NL A1B 4J6.

For more information on completing this form, please refer to the <u>Guidelines for Completing the Privacy</u> <u>Breach Reporting Form</u> on the OIPC website or call 1-877-729-6309.

For more general information on privacy breaches please refer to the <u>Privacy Breach Protocol</u> document available on the ATIPP Office website or call 1-877-895-8891.

Information contained in this form will be recorded for breach management purposes, including but not limited to statistical, educational, and investigative purposes. Should the Commissioner decide to initiate a privacy investigation, the public body will be notified.

Do not include information in this form that can identify the individual(s) whose information has been breached.

| Your Contact Information (Please Print) |  |  |
|---|--|--|
| Public Body:                            |  |  |
| Division/Program:                       |  |  |
| Name and Title:                         |  |  |
| Telephone:                              |  |  |
| E-mail Address:                         |  |  |

May 2015 Page 1 of 4

# Procedure: FA-320-PR-6 Appendix B Government Privacy Breach Reporting Form

| Incident Descr  | ription  |         |           |                                   |  |  |
|---|--|---------|-----------|-----------------------------------|--|--|
| Date Breach O   | occurred:                                      |         |           |                                   |  |  |
| Date Breach w   | as Discovered:                                 |         |           |                                   |  |  |
| Date Breach R   | eported to OIPC:                               |         |           |                                   |  |  |
| Date Breach R   | eported to ATIPP Office:                       |         |           |                                   |  |  |
| Describe the breach and any immediate steps taken to contain the breach and reduce the harm of the breach (e.g. retrieval of breached information, replacement of locks, shut down of IT systems, etc.) |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   | nber of individuals whose nation was breached: |         |           |                                   |  |  |
| Geographical I  | Location of the Breach:                        |         |           |                                   |  |  |
|   | Telephone                                      | Fax     | E-mail    | Technical Malfunction             |  |  |
| Type of   | Mail Out                                       | Courier | In Person | Intentional (i.e. willful breach) |  |  |
| Breach  | Other (please specify):                        |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |
|   |  |         |           |                                   |  |  |

May 2015 Page 2 of 4

**Personal Information Involved** (Check all that apply) Do <u>not</u> include or send us the identifiable personal information.

Name E-mail Driver's License Number

Home Address Signature Medicare Plan (MCP) Number

Birth Date Financial Information Social Insurance Number

Telephone Number Credit Card or Debit Card Medical History

Employment History Educational History Personal Opinions

Other (please specify):

### Risk Evaluation/Potential Harm (Check all that apply) Identify any harm that may result from the breach.

Identity theft (higher risk if breach involves social insurance number or financial information)

Physical harm or harassment (e.g. stalking)

Emotional harm, humiliation or damage to reputation (e.g. disclosure of mental health records)

Financial cost

Loss of business or employment opportunities

Breach of contract and/or other legal obligations (e.g. from data loss)

Future breaches (technical failures)

Violation of professional standards or certificate standards

Other (please specify):

#### **Safeguards** (Check all that apply)

Describe the **physical, administrative, and technical** safeguards currently in place to protect the personal information in your custody and control **relevant to this breach**:

Locked Doors Training

Locked Filing Cabinets Information Sharing Agreement

Alarm System Passwords
Policies Encryption
Procedures Audit Controls

Guidelines

Other (please specify):

May 2015 Page 3 of 4

## Procedure: FA-320-PR-6 Appendix B Government Privacy Breach Reporting Form

| Notification of Affected Individual(s) |  |  |        |        |       |  |
|--|--|--|--------|--------|-------|--|
| Wil                                    | Will the affected individual(s) be notified of the privacy breach? |  |        |        |       |  |
|  | If yes, how will they be notified and when?                        | Telephone  | E-mail | Letter | Date: |  |
|  | If no, why have you chosen not to notify?                          |  |        |        |       |  |
|  | To be determined.  | Please provide additional information as soon as it becomes available. |        |        |       |  |
|  |  |  |        |        |       |  |

| Other Notifications   |  |  |
|---|--|--|
| Have other authorities been notified?   |  |  |
| The head of your public body (Minister, Mayor, etc.)  |  |  |
| Senior staff (Town Manager/Clerk, Director, Assistant Deputy Minister, Deputy Minister, etc.) |  |  |
| Royal Newfoundland Constabulary/Royal Canadian Mounted Police                                 |  |  |
| Your public body solicitor  |  |  |
| Communications staff  |  |  |
| Other (please specify):   |  |  |
|   |  |  |

\* Attach additional pages as required.

|              | For Office Use Only |
|--------------|---------------------|
| Reviewed By: | Date:               |

May 2015 Page 4 of 4